

033104

UTILITY PATENT

APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Check box, if applicable [] Duplicate

Attorney Docket No.

042164

Total Pages

First Named Inventor or Application Identifier

Shun-ichi MIYAZAKI, Akira MIURA, Sadaharu OKA, Chie SATO,
Tsuyoshi YAKIHARA, Shinji KOBAYASHI, Morio WADA,
Hirotoshi KODAKA, and Toshimasa UMEZAWA

Express Mail Label No.

APPLICATION ELEMENTS FOR:

OPTICAL SIGNAL PROCESSING APPARATUS

ADDRESS TO:

Commissioner for Patents
MAIL STOP PATENT APPLICATION
P. O. Box 1450
Alexandria, VA 22313-145017510 U.S. PTO
10/813/16

033104

1. ☒ Fee Transmittal Form (Incorporated within this form)
(Submit an original and a duplicate for fee processing)
2. ☒ Specification Total Pages [19]
3. ☒ Drawing(s) (35 USC 113) Total Sheets [8]
4. ☒ Oath or Declaration Total Pages [4]
 - a. ☒ Newly executed (original)
 - b. ☐ Copy from prior application (37 CFR 1.63(d)
(for continuation/divisional with Box 17 completed).
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named in prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by reference (useable if box 4b is checked)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under
Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby
incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet and document(s)) to: YOKOGAWA ELECTRIC CORPORATION, Tokyo, Japan
Please publish the assignee data with the application.
9. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

042164

First Named Inventor or Application Identifier

Shun-ichi MIYAZAKI, Akira MIURA, Sadaharu OKA, Chie SATO,
Tsuyoshi YAKIHARA, Shinji KOBAYASHI, Morio WADA,
Hiroto KODAKA, and Toshimasa UMEZAWA

PAGE 2 OF 3

10. ☐ English translation Document (if applicable)

11. ☒ Information Disclosure Statement

☒ Copy of IDS Citation (PTO-1449 w/ 1 ref.)

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)

14. ☐ Small Entity Status is claimed.

15. ☒ Claim for Convention Priority

☐ [1] Certified copy of Priority Document is enclosed.

Priority of Japanese application No. 2003-119321 filed on April 24, 2003 is claimed under 35 USC 119.

16. ☐ Other

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:

☐ Continuation ☐ Division ☐ Continuation-in-part (CIP) of prior application no. ____/

FEE TRANSMITTAL	Number Filed	Number Extra	Rate	.. Basic Fee
The filing fee is calculated below				\$770.00
Total Claims	39 - 20	19	x \$18.00	\$342.00
Independent Claims	2 - 3		x \$86.00	
Multiple Dependent Claims			\$290.00	\$290.00
Total Basic Filing Fee				\$1402.00
Reduction by 1/2 for small entity				
Fee for recording enclosed Assignment			\$40.00	40.00
TOTAL				\$1442.00

**UTILITY PATENT
APPLICATION TRANSMITTAL**

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

042164

First Named Inventor or Application Identifier

**Shun-ichi MIYAZAKI, Akira MIURA, Sadaharu OKA, Chie SATO,
Tsuyoshi YAKIHARA, Shinji KOBAYASHI, Morio WADA,
Hirotoishi KODAKA, and Toshimasa UMEZAWA**

PAGE 3 OF 3

☒ [XX] A check in the amount of \$1442.00 is enclosed to cover the filing fee. \$770.00 for basic filing fee; \$342.00 for 19 additional claims; \$290.00 for multiple dependent claims and the assignment recordation fee of \$40.00.

☐ [] Please charge our Deposit Account No. **50-2866** in the total amount of _____ to cover the filing fee and the _____ assignment recordation fee. A duplicate of this sheet is attached.

☒ [XX] The Commissioner is hereby authorized to charge payment for any additional filing fees required under 37 CFR 1.16 or credit any overpayment to Deposit Account no. 50-2866. A duplicate of this sheet is attached.

18. CORRESPONDENCE ADDRESS:

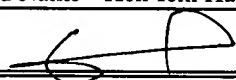
WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
Customer No. 38834

SUBMITTED BY

Typed or Printed Name **Ken-Ichi Hattori**

Reg. No. **32,861**

Signature



Date: **March 31, 2004**

WESTERMAN, HATTORI, DANIELS & ADRIAN, LLP
1250 Connecticut Avenue, N.W., Suite 700
Washington, D.C. 20036
Tel: (202) 822-1100
Fax: (202) 822-1111